

Name of the Company: SANGHVI FORGING AND ENGINEERING LIMITED

Quarter Ended: 30/09/2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of Outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of Warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of the warrants
Held by promoter/promoter group	1200000	100.00	8.64
Held by public	0	0.00	0.00
<b>Total</b>	<b>1200000</b>	<b>100.00</b>	<b>8.64</b>
<b>Total paid-up capital of the Company assuming full conversion of warrants convertible securities Total (A+B+C)+D+E+F)</b>	<b>13892267</b>		<b>100</b>

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**Statement Showing Shareholding Pattern**

Name of the Company: Sanghvi Forging and Engineering Ltd								
Scrip Code: 533411								
Class of Security: Equity Shares of Rs.10/- each								
Quarter Ended: September 30, 2013								
I(a) Statement showing Shareholding Pattern								
Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (VII)	Number of shares	As a percentage (IX) = (VIII)/(IV)*10
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
(1)	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family*	31	7886182	7886182	62.18	62.18	1339140	17.01
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Group Companies	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (A)(1)*</b>	<b>31</b>	<b>7886182</b>	<b>7886182</b>	<b>62.18</b>	<b>62.18</b>	<b>1339140</b>	<b>17.01</b>
(2)	<b>Foreign</b>							
(a)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(b)	Group Companies	0	0	0	0.00	0.00	0	0.00
(c)	Individual	0	0	0	0.00	0.00	0	0.00
(d)	Institutions	0	0	0	0.00	0.00	0	0.00
	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>31</b>	<b>7886182</b>	<b>7886182</b>	<b>62.18</b>	<b>62.18</b>	<b>1339140</b>	<b>17.01</b>
(B)	<b>Public shareholding</b>							
(1)	<b>Institutions</b>							
(a)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(c)	Mutual Funds/UTI	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Trusts							
(h)	Any Other(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
(2)	<b>Non-institutions</b>							
(a)	Bodies Corporate	220	373251	373251	2.94	2.94	0	0.00
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital up to Rs. 1	6029	2222599	2222596	17.53	17.53	0	0.00
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	47	1473769	1473769	11.62	11.62	0	0.00
(c)	Trusts	0	0	0	0.00	0.00	0	0.00
(d)	Any Others							
(i)	Clearing Members	19	3239	3239	0.03	0.03	0	0.00
(ii)	Directors/Relatives	0	0	0	0.00	0.00	0	0.00
(iii)	Employee	0	0	0	0.00	0.00	0	0.00
(iv)	Foreign Nationals							
(a)	Non Resident Indian(NRI)	0	0	0	0.00	0.00	0	0.00
(b)	Non Resident Indian(REPAT)	45	23246	23246	0.18	0.18	0	0.00
(c)	Non Resident Indian(NON REPAT)	0	0	0	0.00	0.00	0	0.00
(v)	Overseas Bodies Corporates	2	699981	0	5.52	5.52	0	0.00
(vi)	Persons Acting in Concert	0	0	0	0.00	0.00	0	0.00
(vii)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>6362</b>	<b>4796085</b>	<b>4096101</b>	<b>37.81</b>	<b>37.81</b>	<b>0</b>	<b>0.00</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>6362</b>	<b>4796085</b>	<b>4096101</b>	<b>37.81</b>	<b>37.81</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>6393</b>	<b>12682267</b>	<b>11982283</b>	<b>100.00</b>	<b>100.00</b>	<b>1339140</b>	<b>10.56</b>
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
	<b>GRAND TOTAL(A)+(B)+(C)</b>	<b>6393</b>	<b>12682267</b>	<b>11982283</b>	<b>100.00</b>	<b>100.00</b>	<b>1,339,140</b>	<b>10.56</b>

NA - Not applicable

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## Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" (#)

(I)(b)

Sr. No.	Name of the shareholder	Total Shares Held		Encumbered shares (*)		As a % of grand total (A)+(B)+C of sub-clause (I)(a)	Details of warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as % of diluted share capital
		Number of Shares	As a % of grand total (A)+(B)+(C)	Number	As a percentage		Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Jayantilal Babulal Sanghvi	1294606	10.21	450666	34.81	3.55	300000	25.00	0	0	11.48
2	Naresh Babulal Sanghvi	1166931	9.20	443499	38.01	3.49	300000	25.00	0	0	10.56
3	Babulal Sagarmal Sanghvi	1164283	9.18	444975	38.22	3.51	300000	25.00	0	0	10.54
4	Vikram Babulal Sanghvi	955682	7.54	0	0.00	0.00	300000	25.00	0	0	9.04
5	Bhanridevi Babulal Sanghvi	662451	5.22	0	0.00	0.00	0	0.00	0	0	4.77
6	Meena Jayantilal Sanghvi	620800	4.90	0	0.00	0.00	0	0.00	0	0	4.47
7	Kiran Naresh Sanghvi	576000	4.54	0	0.00	0.00	0	0.00	0	0	4.15
8	Sheetal Vikram Sanghvi	277726	2.19	0	0.00	0.00	0	0.00	0	0	2.00
9	Mahesh Babulal Sanghvi	253894	2.00	0	0.00	0.00	0	0.00	0	0	1.83
10	Babulal Sagarmal Sanghvi (HUF)	262857	2.07	0	0.00	0.00	0	0.00	0	0	1.89
11	Jayantilal Babulal Sanghvi (HUF)	112000	0.88	0	0.00	0.00	0	0.00	0	0	0.81
12	Naresh Babulal Sanghvi (HUF)	112000	0.88	0	0.00	0.00	0	0.00	0	0	0.81
13	Naresh Babulal Sanghvi	90000	0.71	0	0.00	0.00	0	0.00	0	0	0.65
14	Jayantilal Babulal Sanghvi	80985	0.64	0	0.00	0.00	0	0.00	0	0	0.58
15	Vikram Babulal Sanghvi (HUF)	83394	0.66	0	0.00	0.00	0	0.00	0	0	0.60
16	Naresh Babulal Sanghvi	26000	0.21	0	0.00	0.00	0	0.00	0	0	0.19
17	Bhanridevi Babulal Sanghvi	20000	0.16	0	0.00	0.00	0	0.00	0	0	0.14
18	Jitendra Jugalkishore Munot	24032	0.19	0	0.00	0.00	0	0.00	0	0	0.17
19	Vikram Laxmichand Mehta	14736	0.12	0	0.00	0.00	0	0.00	0	0	0.11
20	Babita Devi Laxmichand Mehta	24500	0.19	0	0.00	0.00	0	0.00	0	0	0.18
21	Anila Jugalkishore Munot	20984	0.17	0	0.00	0.00	0	0.00	0	0	0.15
22	Piyush Laxmichand Mehta	11100	0.09	0	0.00	0.00	0	0.00	0	0	0.08
23	Lalitkumar M Bhansali	4328	0.03	0	0.00	0.00	0	0.00	0	0	0.03
24	Lalitkumar M Bhansali	698	0.01	0	0.00	0.00	0	0.00	0	0	0.01
25	Pukhraj H Bhansali	823	0.01	0	0.00	0.00	0	0.00	0	0	0.01
26	Hiralal Bhansali	772	0.01	0	0.00	0.00	0	0.00	0	0	0.01
27	Meena Jayantilal Sanghvi	6000	0.05	0	0.00	0.00	0	0.00	0	0	0.04
28	Meena Jayantilal Sanghvi	2500	0.02	0	0.00	0.00	0	0.00	0	0	0.02
29	Sheetal Vikram Sanghvi	2800	0.02	0	0.00	0.00	0	0.00	0	0	0.02
30	Gatiyadevi M Jain	10000	0.08	0	0.00	0.00	0	0.00	0	0	0.07
31	Mahendra Mafatlal Jain	3300	0.03	0	0.00	0.00	0	0.00	0	0	0.02
<b>TOTAL</b>		<b>7886182</b>	<b>62.18</b>	<b>1339140</b>	<b>0.00</b>	<b>10.56</b>	<b>1200000</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>65.40</b>

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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total Shares (including underlying shares assuming full conversion of warrants and
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	M/s Dango & Dienenthal GmbH & Co KG	359029	2.83	0	0	0.00	0	2.58
2	M/s Dango & Dienenthal GmbH & Co KG	340952	2.69	0	0	0.00	0	2.45
3	Tinadevi Mahesh Sanghvi	177169	1.40	0	0	0.00	0	1.28
4	Payaridevi Mohanlal Sanghvi	170798	1.35	0	0	0.00	0	1.23
<b>TOTAL</b>		<b>1047948</b>	<b>8.27</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>7.54</b>

(I)(c)(ii) Statement Showing Shareholding of Securities (including shares, warrants convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total Shares (including underlying shares assuming full conversion of warrants and convertible
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
NIL								
<b>Total</b>		<b>NIL</b>						

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in
1	Babulal Sagarmal Sanghvi	1164283	9.18
2	Vikram Babulal Sanghvi	955682	7.54
3	Naresh Babulal Sanghvi	1282931	10.12
4	Jyantilal Babulal Sanghvi	1367591	10.78
<b>TOTAL</b>		<b>4770487</b>	<b>37.62</b>

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in
1	Not applicable			
<b>TOTAL</b>				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "promoters/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	Not applicable			
<b>TOTAL</b>				

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